



SECRETARIAL COMPLIANCE REPORT OF M/S. SVP GLOBAL VENTURES LIMITED FOR THE YEAR ENDED 31ST MARCH, 2021

I **Shravan A. Gupta**, Company Secretary in whole time practice have examined:

- (a) All the documents and records made available to me and explanation provided by **M/s. SVP Global Ventures Limited** ("the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2021 ("Review Period") in respect of compliance with the provisions of :

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued and applicable thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable period under review.**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not applicable period under review.**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable period under review.**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not applicable period under review.**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) and other circulars/ guidelines issued and applicable to the company thereunder;

And based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the applicable provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	The Bombay Stock Exchange Regulation 17(1)	Non-compliance with the requirements pertaining to the composition of the Board of Directors for the Quarter ended 30 th June, 2020	The Company has sent the written representation to the Bombay Stock Exchange on 05.09.2020 and has made payment of Rs. 5,36,900 for the non-compliance for Quarter ended 30 th June 2020 on 07.01.2021; same has been waived off by the Bombay Stock Exchange by letter dated on 19.04.2021.
2	The Bombay Stock Exchange Regulation 17(1)	Non-compliance with the requirements pertaining to the composition of the Board for the Quarter ended 30 th September,2020	Reply for Waiver of Penalty has been filed and the Penalty of Rs. 3,83,500 has been paid on 07.12.2020; same has been pending for consideration by Bombay Stock Exchange.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	The Bombay Stock Exchange Regulation 17(1)	Non-compliance with the requirements pertaining to the composition of the Board of Directors for the Quarter ended 30 th June, 2020	Fine of Rs. 5,36,900	The Company has sent the written representation to the Bombay Stock Exchange on 05.09.2020 and has made payment of Rs. 5,36,900 for the non-compliance for Quarter ended 30 th June 2020



				on 07.01.2021; same has been waived off by the Bombay Stock Exchange by letter dated on 19.04.2021.
2.	The Bombay Stock Exchange Regulation 17(1)	Non-compliance with the requirements pertaining to the composition of the Board of Directors for the Quarter ended 30 th September, 2020	Fine of Rs. 3,83,500	Reply for Waiver of Penalty has been filed and the Penalty of Rs. 3,83,500 has been paid on 07.12.2020; same has been pending for consideration by Bombay Stock Exchange.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable

Shravan A. Gupta & Associates
Practicing Company Secretary



Shravan A. Gupta
ACS: 27484, CP: 9990
UDIN: A027484C000458079

Place: Mumbai
Date: 14.06.2021